

ID/DD WAIVER COMPLIANCE REVIEW INSTRUMENT (CRI)

Administrative Section: DMH Document Review

RATING SCALE:

Y – Yes N – No N/A- Not applicable

	Element	Rating	Comments
1.	Serious Incident Quarterly Reports were submitted to DOM in a timely manner and include all serious incidents that occurred during the reporting period.	Y N N/A	
2.	DMH processes files (certifications, changes to POC, discharges, etc.) submitted by Regional Centers in a timely manner. Recertifications are processed before the lock-in end date in Envision.	Y N N/A	
3.	DMH certifies providers before they begin providing services	Y N N/A	
4.	DMH verifies professional providers have current license upon enrollment and throughout the period they provide services.	Y N N/A	
5.	DMH ensures over/under utilized services identified on Utilization Reports are reported to DOM on Discrepancy Reports.	Y N N/A	
6.	An accurate statewide waiting list is maintained and updated in a timely manner.	Y N N/A	
7.	DMH policy and procedures are in accordance with DOM requirements.	Y N N/A	
8.	The facility's policy and procedures are in accordance with DOM requirements.	Y N N/A	
9.	Documentation indicates DMH follows its policy and procedures in practice.	Y N N/A	
10.	DMH/BIDD informs DOM of applicable staff changes within 72 hours of the effective date of the change.	Y N N/A	